**CERTIFICATE OF MAILING** 

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Gregory M. Zinkl, Ph.D.

Name of Applicant, Assignee or
Registered Representative

Signature

Date of Signature

Our Case No.: 10466/126

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To be assigned

Group Art Unit No.: 1645

In re Application of:

Ashkenazi et al.

Serial No.: 09/943,851

Filing Date: August 30, 2001

For:

SECRETED AND

TRANSMEMBRANE

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

# **OTHER ART**

- 1. Blast Results A1-A23, GenBank
- 2. Blast Results, B1-B9, Dayhoff

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Gregory M. Zink, Ph.D. Registration No. 48,492 Agent for Applicant(s)

**BRINKS HOFER GILSON & LIONE** P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

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Signature
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Date of Signature

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Our Case No. 10466/126

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Ashkenazi et al.	) ) Examiner To be assigned
Serial No. 09/943,851	) Group Art Unit No. 1645
Filing Date: August 30, 2001	) Group Art Ollit No. 1045
For SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	) ) )

# RELEVANT PRIORITY DOCUMENTS

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The following priority documents are relevant to the presently claimed invention:

- 1. 60/069694;
- filed 12/16/1997
- 2. 60/074086;
- filed 2/9/1998
- 3. PCT/US98/25108; filed 12/1/1998
- 4. 60/112850;
- filed 12/16/1998

5. 09/216021;

filed 12/16/1998

6. 60/113296;

filed 12/22/1998

7. 09/218517;

filed 12/22/1998

8. 09/254311;

filed 3/3/1999

9. PCT/US99/28409; filed 11/30/1999

10. PCT/US99/28301; filed 12/1/1999

11. PCT/US99/30095; filed 12/16/1999

12. PCT/US00/05841; filed 3/2/2000

13. 09/866028;

filed 5/25/2001

Respectfully submitted,

Gregory M. Zinkl, Ph.D.

Registration No. 48,492 Agent for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200



1645

TRESPUTANTER			Case No. 10466/126		
Serial No. 09/943,851	Filing Date IRAS August 30, 2001	Examiner To be assigned	Group Art Unit 1645		
Inventor(s) Ashkenazi et al.					
Title of Invention	INSMEMBRANE POLYPEPTIDES AND	NUCLEIC ACIDS ENCODIN	G THE SAME		

### TO THE COMMISSIONER FOR PATENTS

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Relevant	Transmitted her Priority Documer	ewith is <u>an</u> nts and retu	Information Disclorn postcard.	sure Statement;	For	n PTO-1449;	2 cited refe	rences	s; Statement r	egaroing	
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.										
	A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.							IVED			
	Petition for amonth extension of time.						٨	MAY 0 3	2002		
$\boxtimes$	No additional fee is required.					TECH (	CENTER	1600/2900			
	The fee has bee	en calculate	d as shown below:						Other		
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	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'I Fee	or	Rate	Add'I Fee	
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		•				Total add'l fee	\$		Total add'l fee	\$	
Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ A duplicate copy of this sheet is enclosed.  A check in the amount of \$ to cover the filing fee is enclosed.											
$\boxtimes$	The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.										
I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.											
				Respectfully						•	
				y AA	m.	me					
				Gregory M.	Zſnk	l, Ph.D.					
				Registration Agent for Ap							
	HOFER GILSON	& LIONE									
	SO, ILLINOIS 606	10									
I hereby co	ertify that this correspon	dence is being	deposited with the United	I States Postal Service	as						
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Date:	4/23/02	Signa	ature:	Ily							
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